

Center for  
Electronic Correlations and Magnetism  
University of Augsburg

Theory of correlated fermionic condensed matter

## 3. Correlation-induced phenomena in electronic systems

### a. Kinks in the electronic dispersion

XIV. Training Course in the Physics of Strongly Correlated Systems  
Salerno, October 7, 2009

Dieter Vollhardt

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# Outline

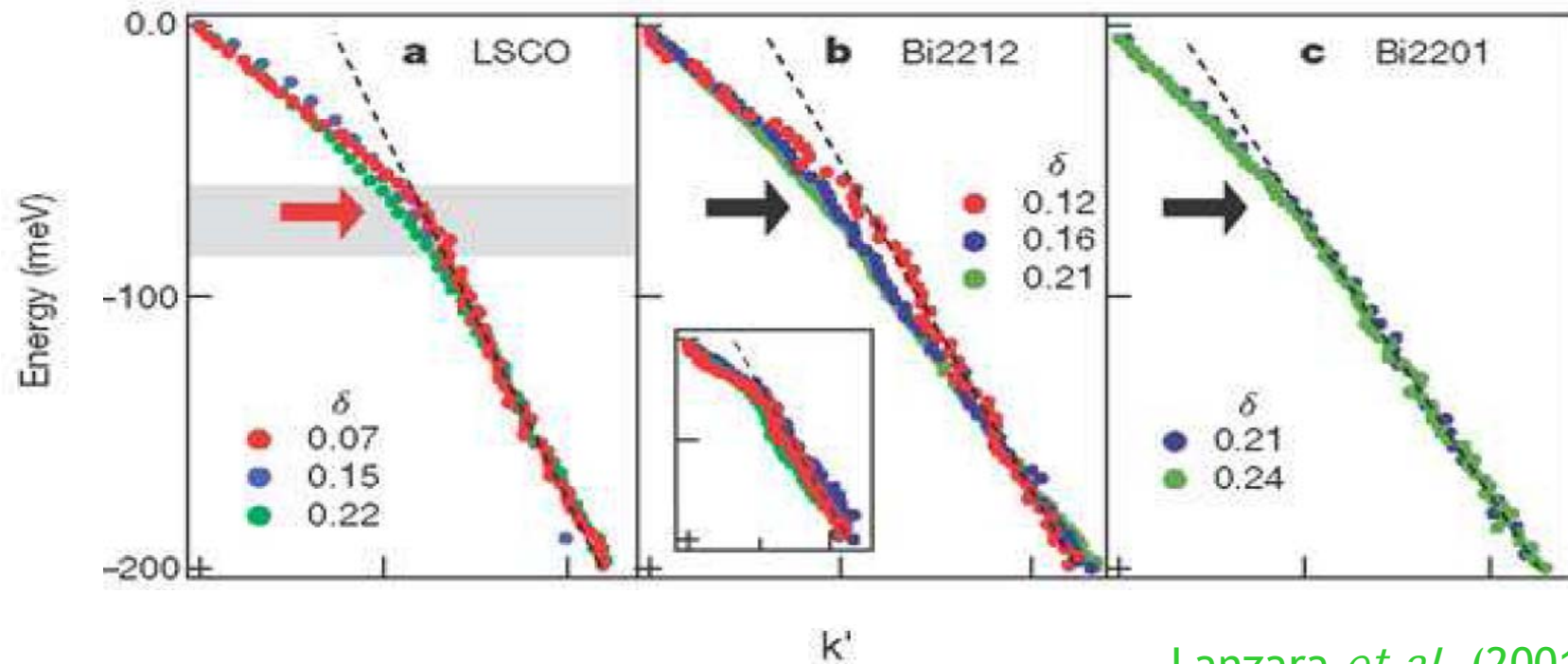
Robust electronic correlation mechanism leading to

- kinks
- waterfalls

in the electronic dispersion

# Kinks in high- $T_c$ cuprates

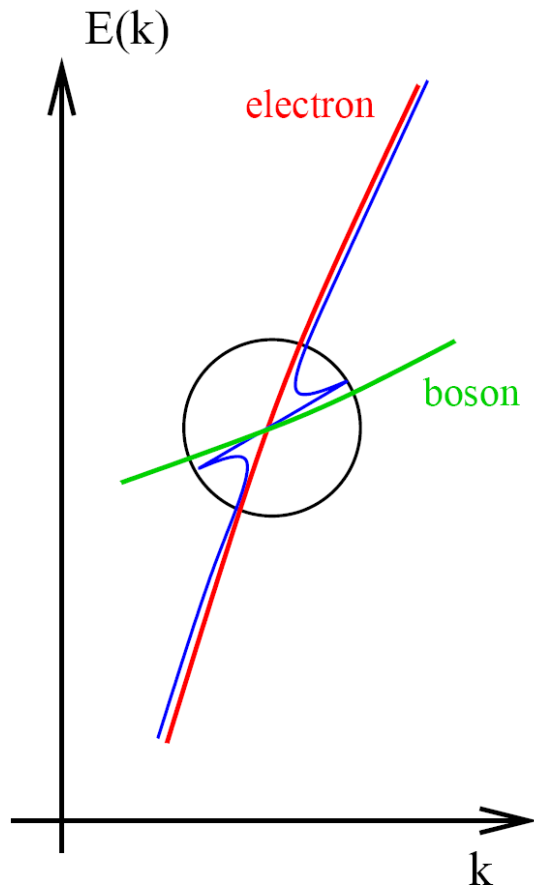
Valla *et al.* (1999)  
Bogdanov *et al.* (2000)



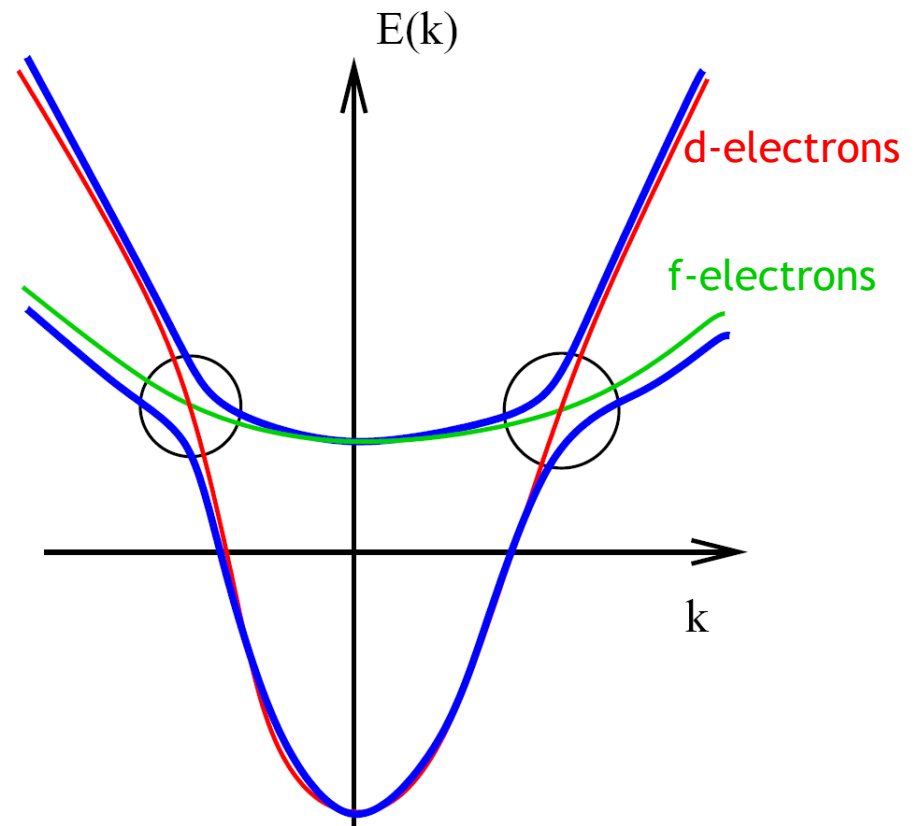
Lanzara *et al.* (2001)

- Kinks at  $\omega_* \approx 40-70$  meV
- Due to coupling of electrons to **phonons** !?

## Known origin of kinks in solid-state physics

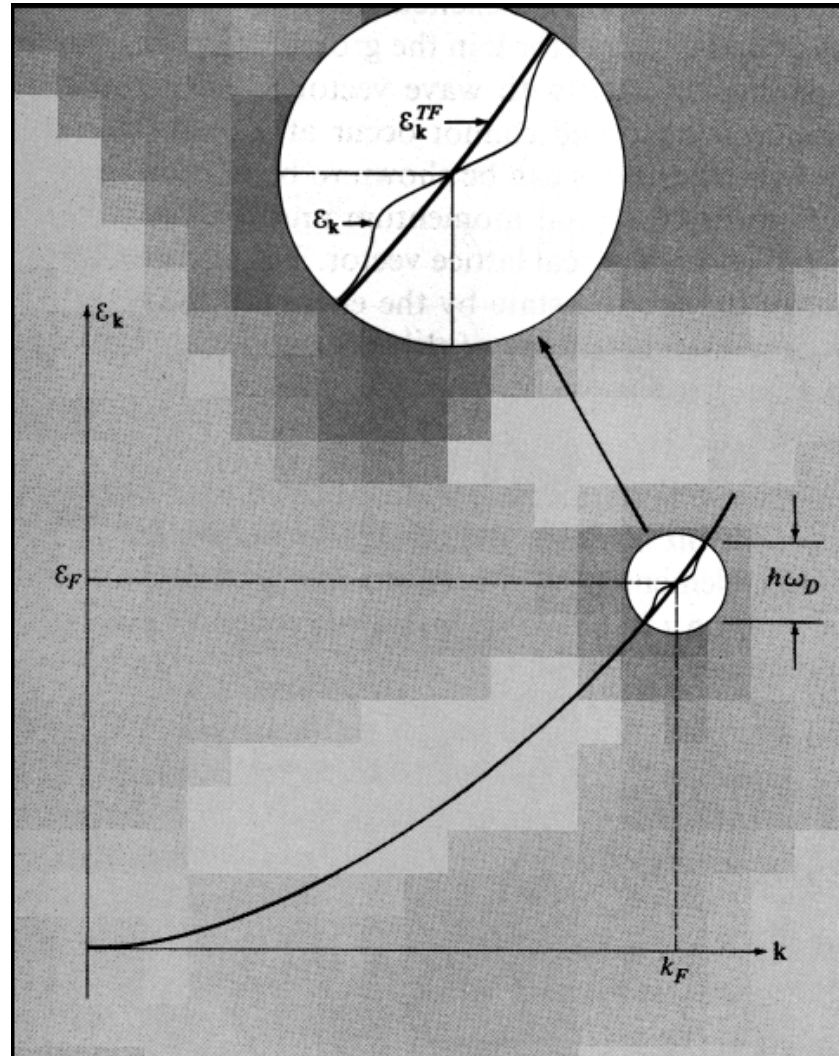


Kinks due to  
electron-phonon (boson) coupling



Kinks due to  
electron-electron hybridization

## Kinks in conventional superconductivity

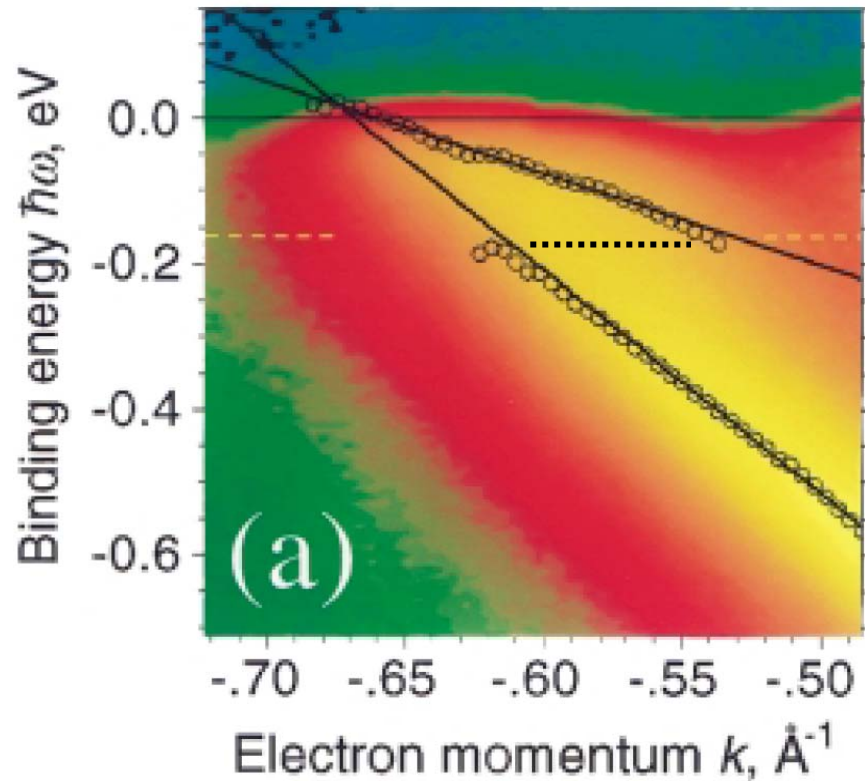


Electron-phonon correction of electronic dispersion

Ashcroft, Mermin; *Solid State Physics* (1976)

## Kinks: Metal surfaces

Tungsten



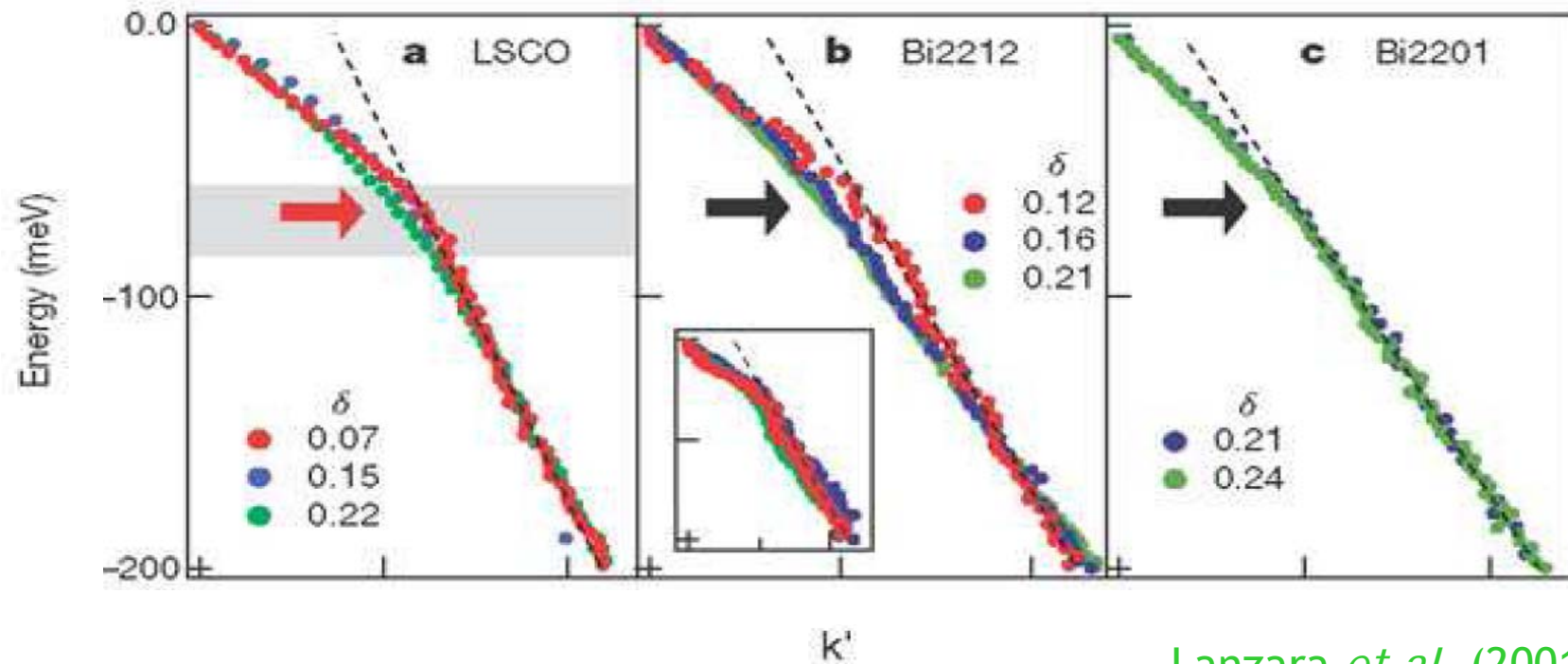
$\omega_* = 160 \text{ meV}$ :  
Stiff surface phonon

Rotenberg *et al.* (2000)

Kink due to electron-phonon coupling

# Kinks in high- $T_c$ cuprates

Valla *et al.* (1999)  
Bogdanov *et al.* (2000)



Lanzara *et al.* (2001)

- Kinks at  $\omega_* \approx 40-70$  meV
- Due to coupling of electrons to phonons or spin fluctuations ?

## Kinks due to electronic interaction in high- $T_c$ cuprates (non-phononic)

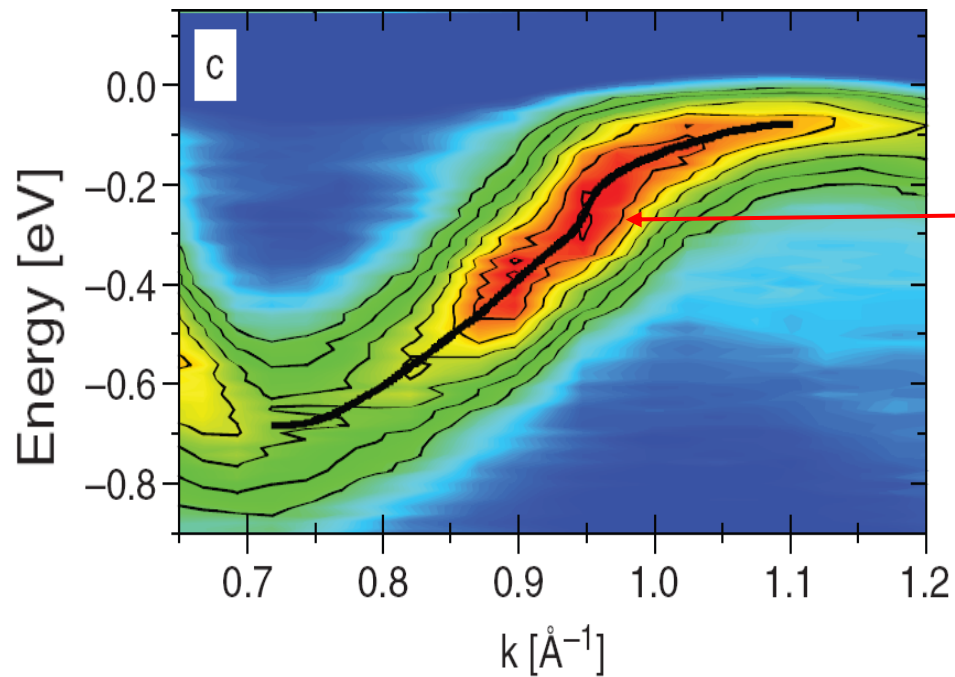
- Manske, Eremin, Bennemann (2001, 2003, ...)  
Coupling of quasiparticles to **spin fluctuations**  
[FLEX]
- Randeria, Paramekanti, Trivedi (2004)  
Different high/low energy dispersion of nodal quasiparticles (origin?)  
[Gutzwiller projected wave functions]
- Kordyuk *et al.* (2004 -), Borisenko *et al.* (2006)  
**Spin-fluctuation** mediated electronic interaction  
[KK-consistent extraction of self-energy]
- Kakehashi, Fulde (2005)  
Coupling of quasiparticles to short-range **magnetic fluctuations**  
[Self-consistent projection operator method]

**k-dependence of self-energy  $\Sigma(\mathbf{k}, \omega)$  essential**



## Kinks: Metal surfaces

PES of quasi-1D electronic structures on Platinum(110) surface



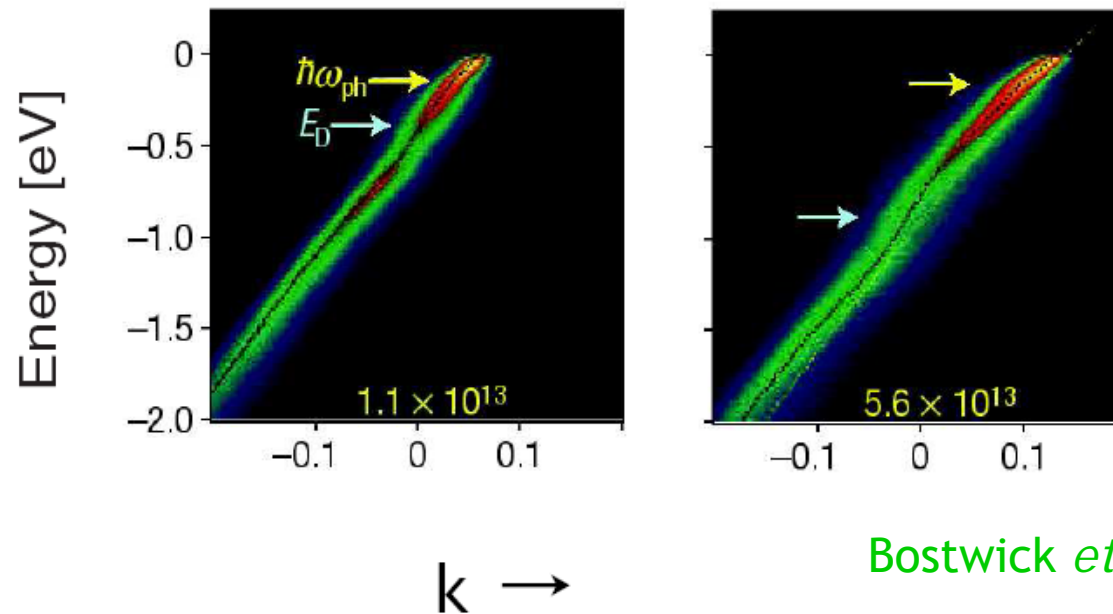
300meV: too high  
for phonons or  
spin fluctuations

Menzel *et al.* (2005)

Kinks due to coupling of electrons to what?

# Kinks: Graphene

Doping by potassium adsorption



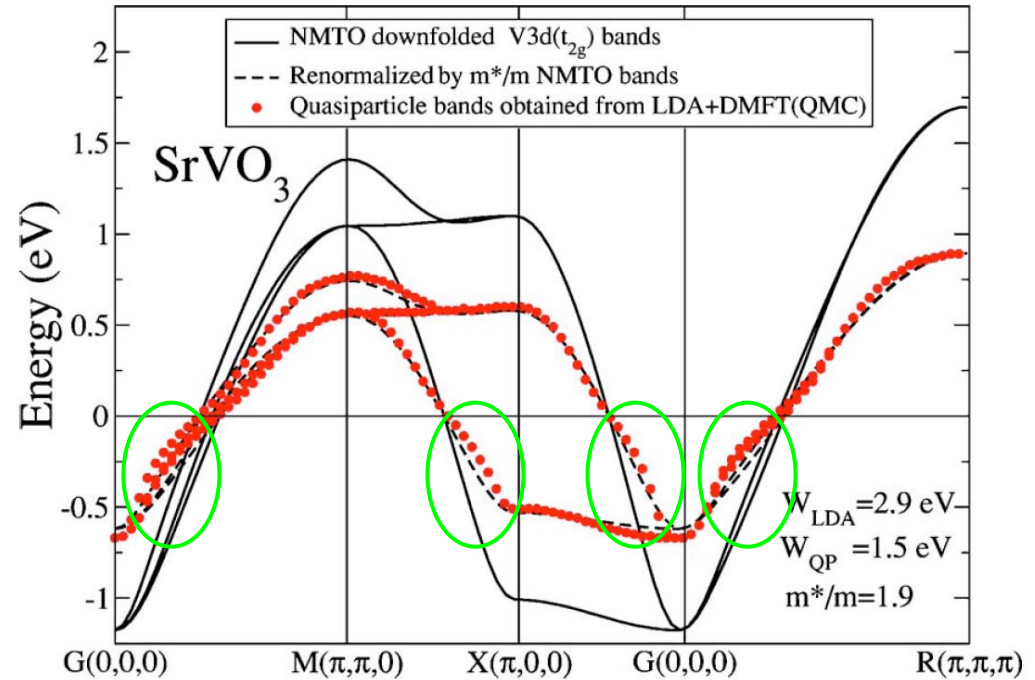
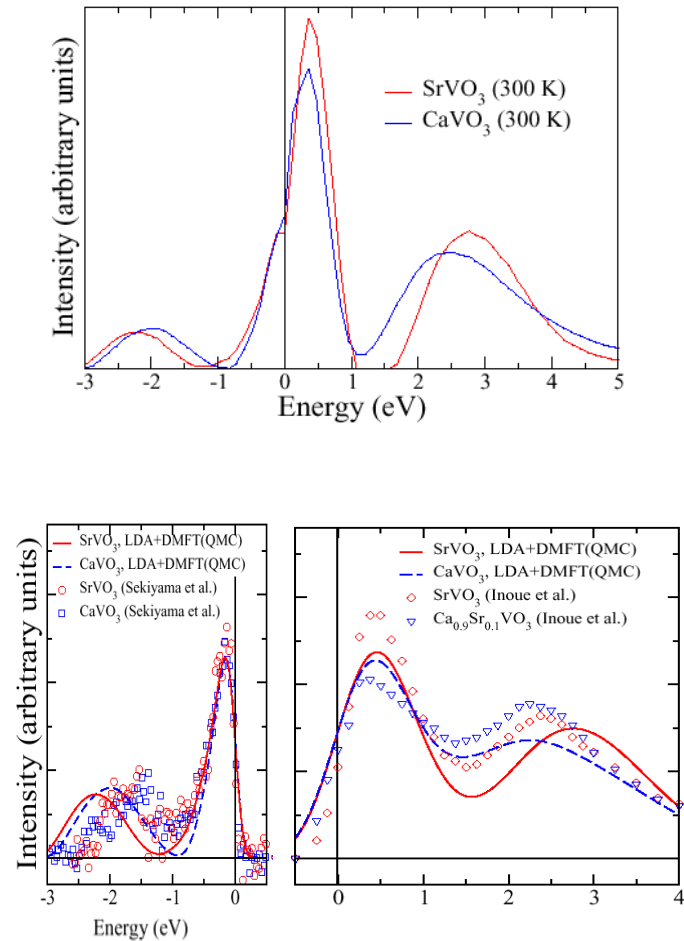
Bostwick *et al.* (2007)

- “Low energy” kinks at 200 meV
- “High energy” kinks at 400-900 meV (near X-ing of Dirac branches,  $E_D$ )
- coupling of electrons to **plasmons** ?

Robust electronic correlation mechanism  
for kinks

# Purely electronic mechanism for kinks: Strong correlations

SrVO<sub>3</sub> and CaVO<sub>3</sub>



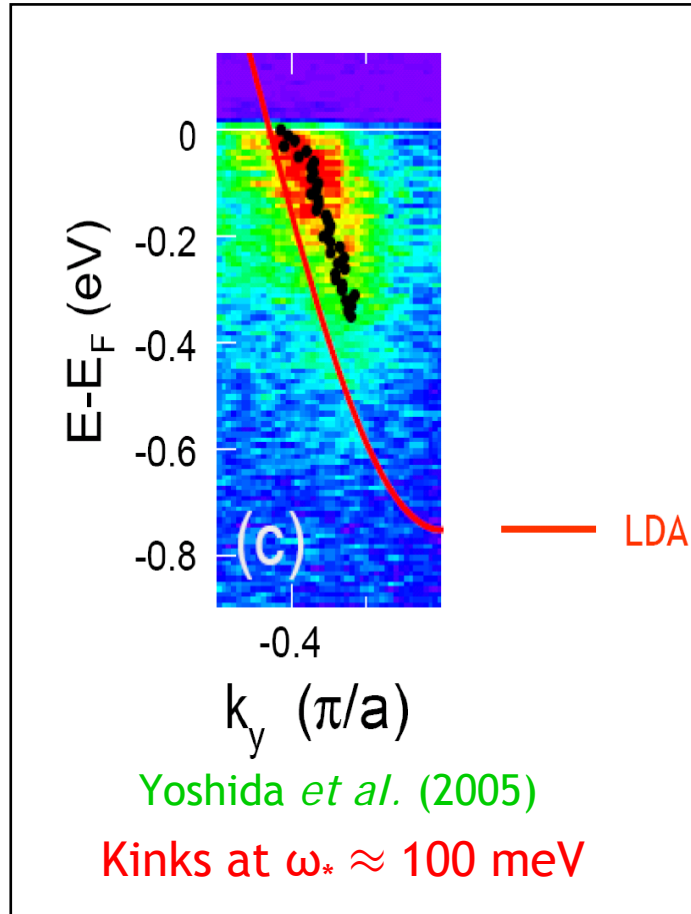
Ekaterinburg - Augsburg - Stuttgart collaboration,  
Nekrasov *et al.* (2004, 2006)

Renormalization of LDA-bands by self-energy

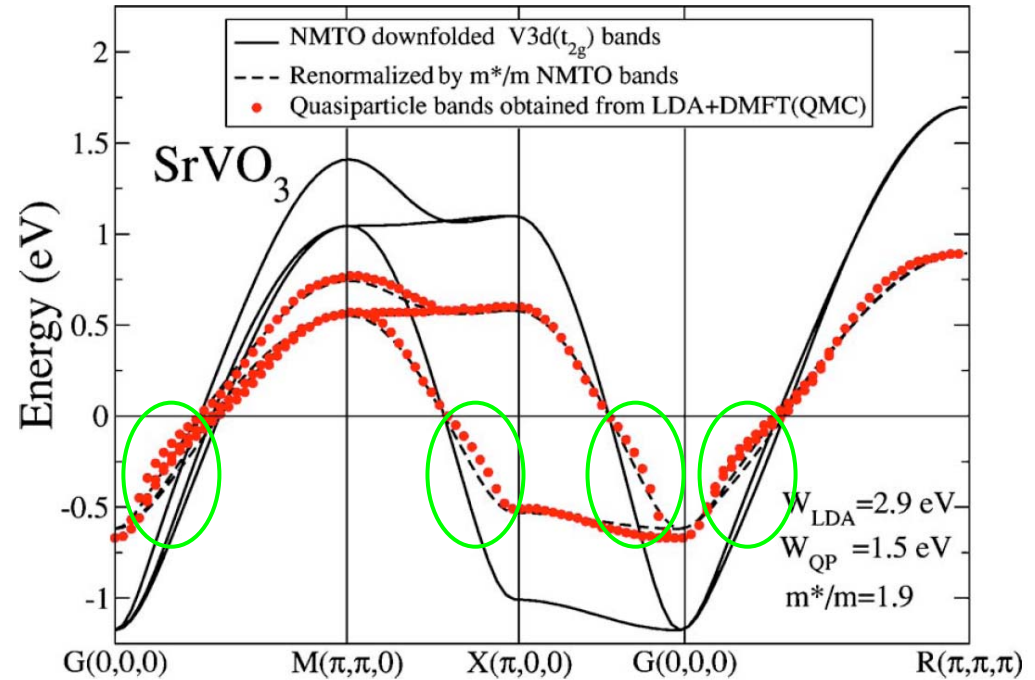
Osaka - Augsburg - Ekaterinburg  
Collaboration; Sekiyama *et al.* (2004)

Kinks at  $|\omega_*| \approx 200$  meV

# Purely electronic mechanism for kinks: Strong correlations



Physical origin of kinks in a purely electronic theory with one type of electron ?

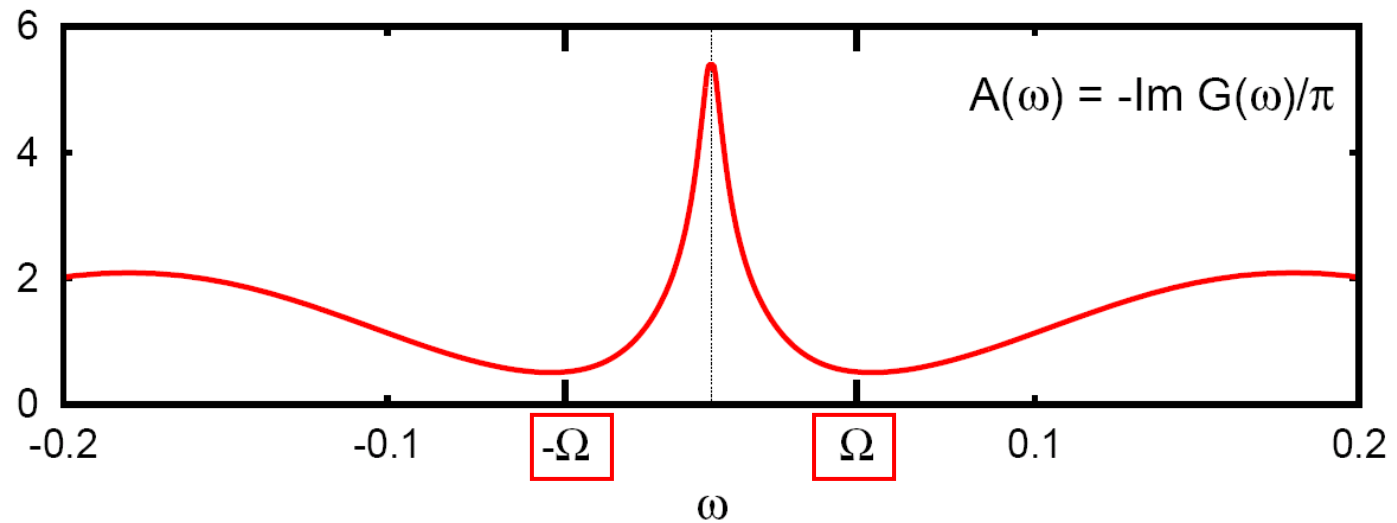


Ekaterinburg - Augsburg - Stuttgart collaboration,  
Nekrasov *et al.* (2004, 2006)

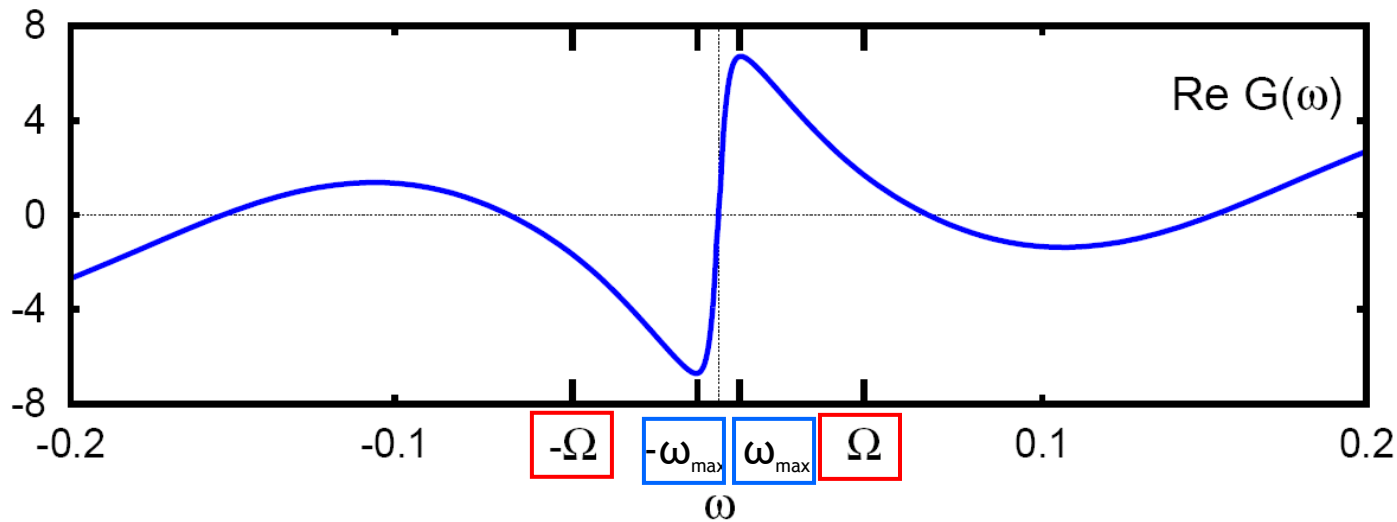
Renormalization of LDA-bands by self-energy

Kinks at  $|\omega_*| \approx 200$  meV

# Strongly correlated paramagnetic metal



↓ KK

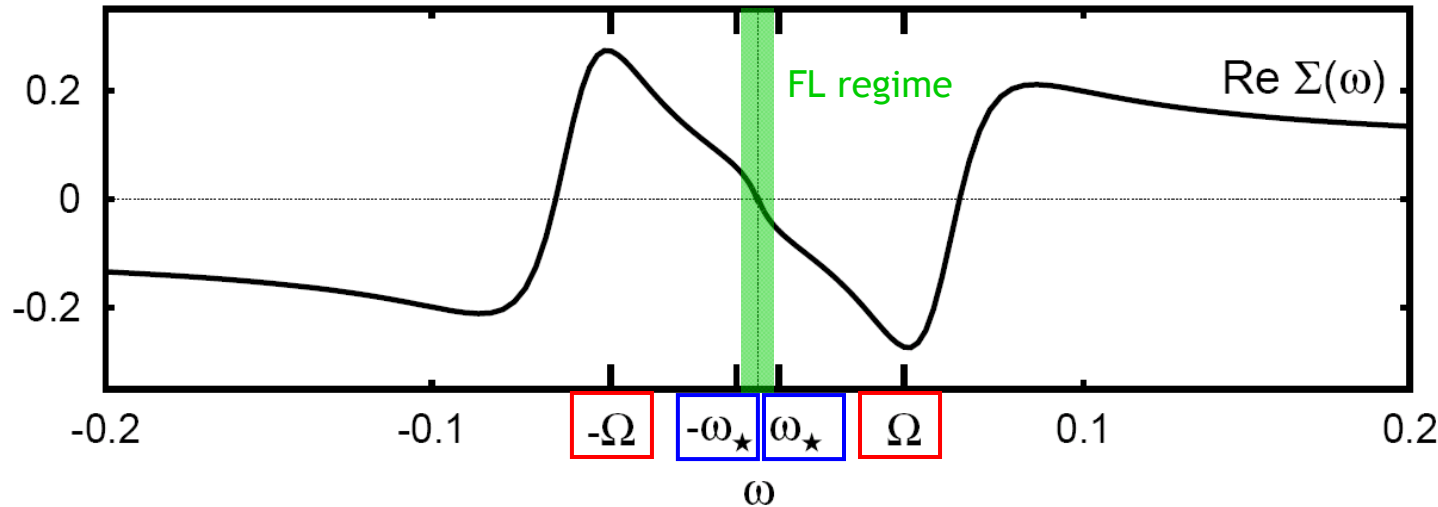


New energy scale ?

Byczuk, Kollar, Held, Yang, Nekrasov, Pruschke, DV; Nature Phys. (2007)

$$G(\omega) \xrightarrow{DMFT} \Sigma(\omega) = \underbrace{\left(\omega + \mu - \frac{1}{G(\omega)}\right)}_{\text{linear for } |\omega| \leq \Omega} - \underbrace{\Delta[G(\omega)]}_{\substack{\text{linear for } |\omega| \leq \omega_* \\ \propto G + O(G^2)}}$$

Oudovenko *et al.*  
(2006)

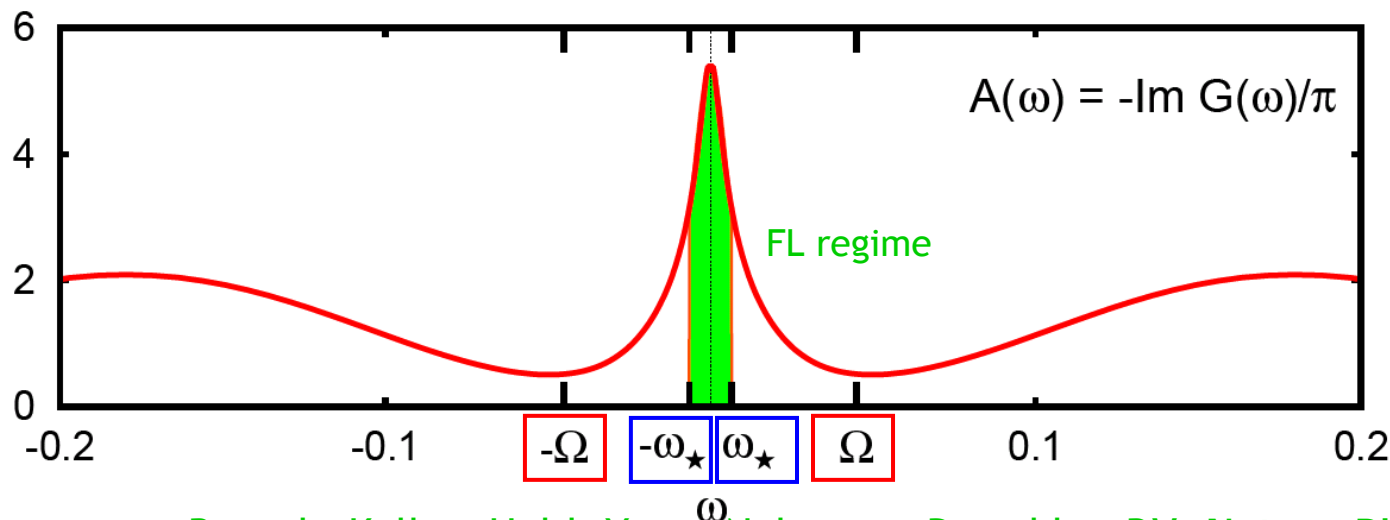


Fermi liquid regime  
restricted to

$$|\omega| \leq \omega_*$$

$$\begin{aligned} \omega_* &= (\sqrt{2} - 1)\omega_{\max} \\ &= (\sqrt{2} - 1)Z_{FL}D_0 \end{aligned}$$

$$\Omega \sim \sqrt{Z_{FL}}$$

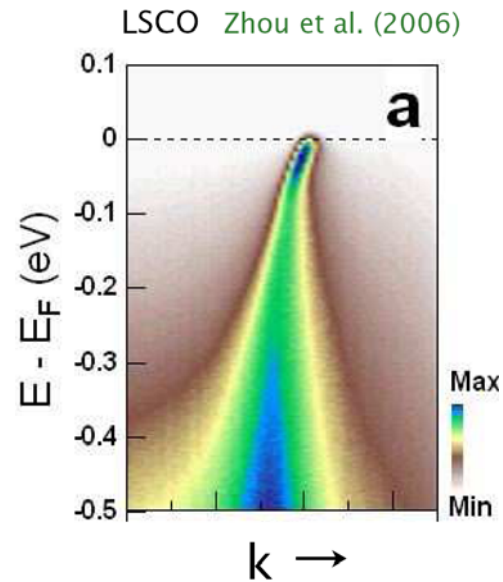


Electronic  
dispersion  
*outside*  
Fermi liquid  
regime?

Byczuk, Kollar, Held, Yang, Nekrasov, Pruschke, DV; Nature Phys. (2007)

## Electronic dispersion $E_k$

- Dispersion relation:  $E_k = \{ \omega | A(\mathbf{k}, \omega) = \max \}$

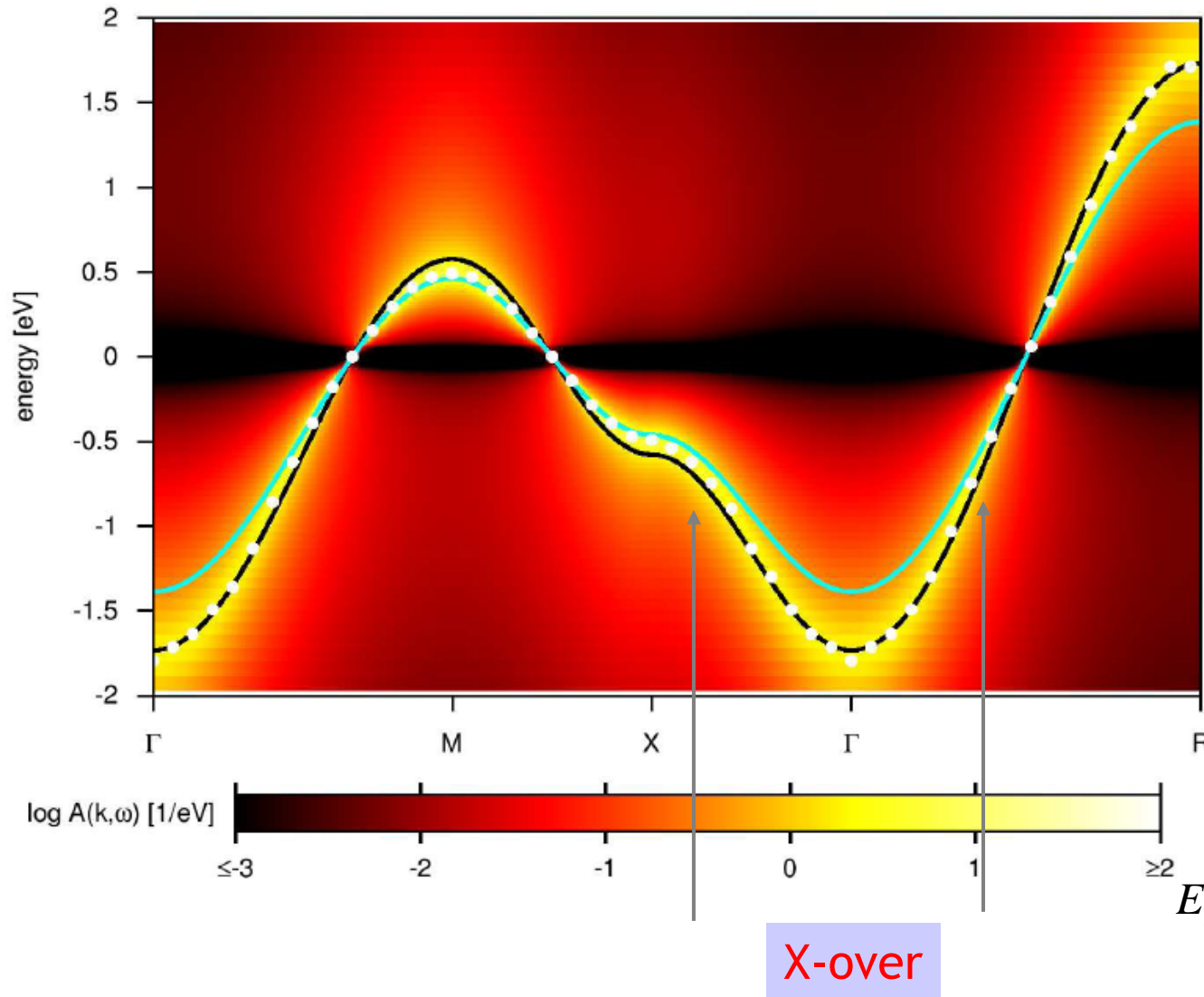


- Integrated spectral function:  $A(\omega) = \int d\mathbf{k} A(\mathbf{k}, \omega)$



# Electronic dispersion $E_k$ : Hubbard model, cubic lattice, DMFT(NRG)

1) Weak correlations:  $U=0.29W$ ,  $Z_{FL}=0.8$

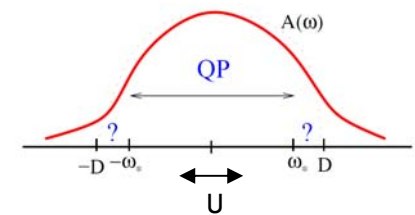


Fermi liquid dispersion

$$E_k = Z_{FL} E_k^0$$

Non-interacting dispersion

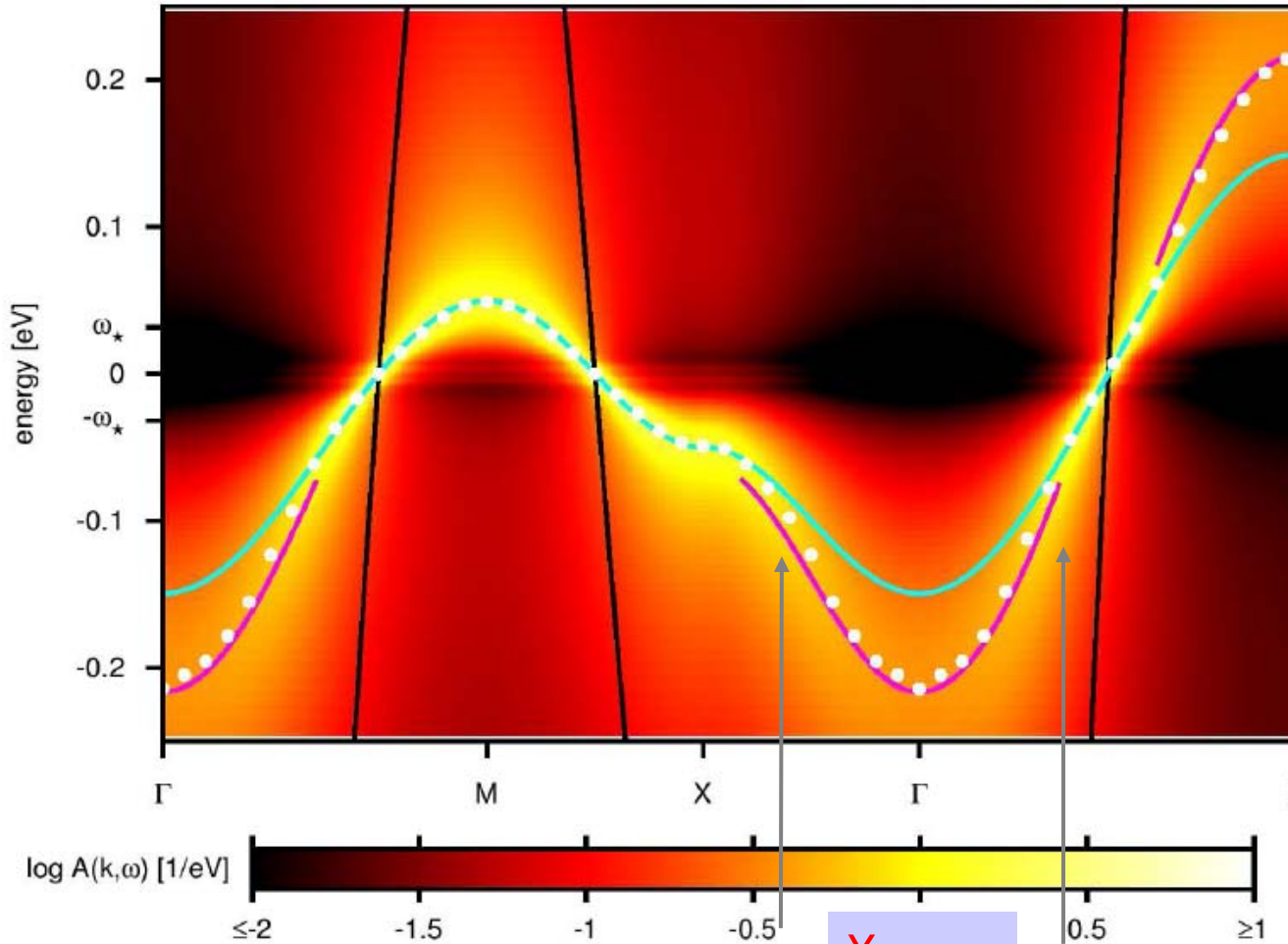
$$E_k^0$$



$$E_k = \begin{cases} Z_{FL} E_k^0; & |E_k| \leq \omega_* \\ E_k^0; & |E_k| \geq \omega_* \gg U \end{cases}$$

# Electronic dispersion $E_k$ : Hubbard model, cubic lattice, DMFT(NRG)

1) Strong correlations :  $U=0.96W$ ,  $Z_{FL}=0.086$



Non-interacting dispersion

$$E_{\mathbf{k}}^0$$

Fermi liquid dispersion

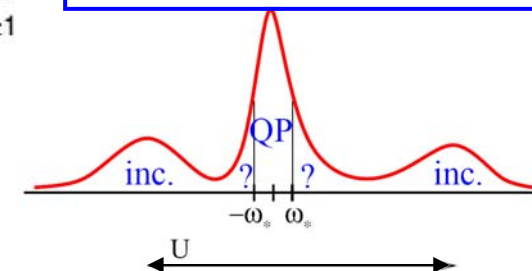
$$E_{\mathbf{k}} = Z_{FL} E_{\mathbf{k}}^0$$

Dispersion outside Fermi liquid regime

$$E_{\mathbf{k}} = Z' E_{\mathbf{k}}^0 + c$$

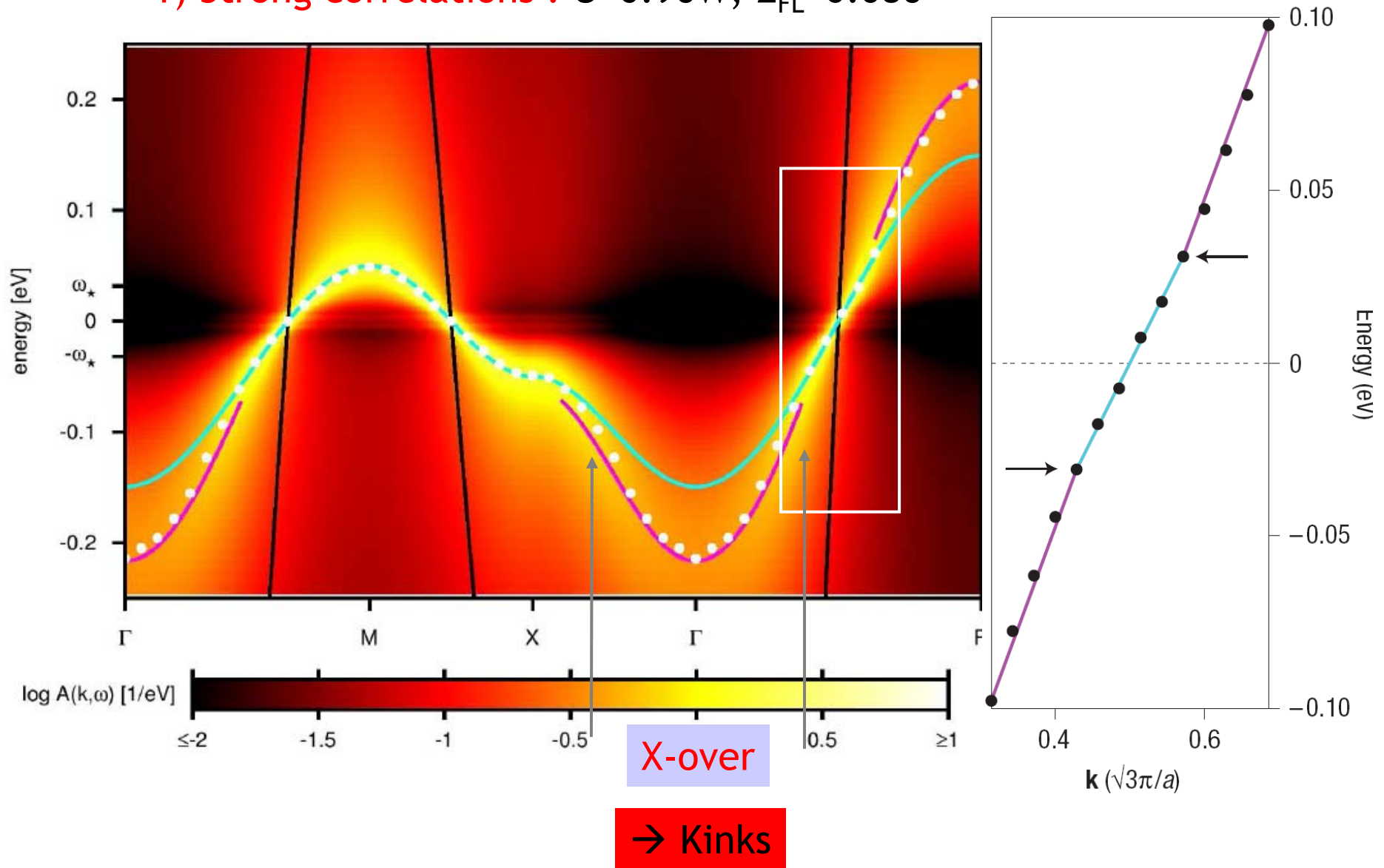
$$E_{\mathbf{k}} = \begin{cases} Z_{FL} E_{\mathbf{k}}^0 & ; |E_{\mathbf{k}}| \leq \omega_* \\ Z' E_{\mathbf{k}}^0 + c & ; |E_{\mathbf{k}}| \geq \omega_* \ll U \end{cases}$$

$A(\omega)$ : three-peak structure  
 $Z'$  = weight of central peak  $> Z_{FL}$   
 = 0.135 (moderately correlated)



# Electronic dispersion $E_k$ : Hubbard model, cubic lattice, DMFT(NRG)

1) Strong correlations :  $U=0.96W$ ,  $Z_{FL}=0.086$



# Characteristics of the kinks

E.g.: p-h symmetric case

- Kink energy:

$$\omega_* = (\sqrt{2} - 1) Z_{FL} \left[ \frac{\text{Im}(1/G_0)}{\text{Re}(G_0'/G_0^2)} \right]_{\omega=E_F^0} \quad \text{inside central peak}$$

- Intermediate energy regime:

$$Z' = Z_{FL} \left[ \frac{1}{\text{Re}(G_0'/G_0^2)} \right]_{\omega=E_F^0} = \text{weight of central peak in } A(\omega)$$

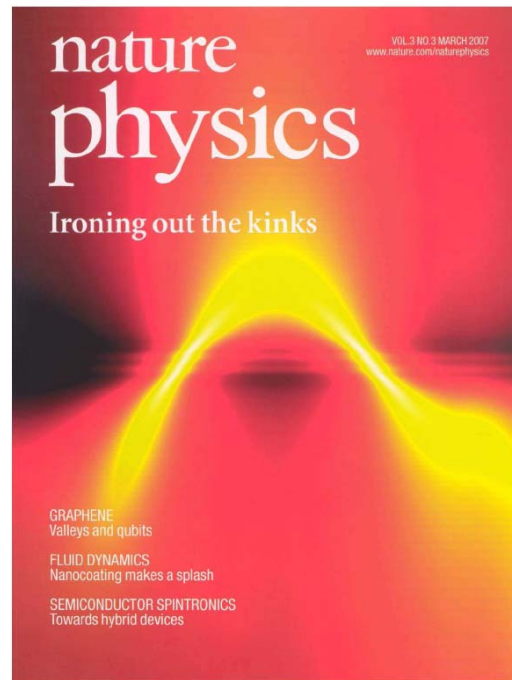
→ change in slope ( $Z'/Z_{FL}$ ) independent of interaction

- Curvature at kink:  $\text{Im} \Sigma''(\omega_*) \propto (Z_{FL})^2$

→ sharpness of kink  $\propto (Z_{FL})^{-2}$

→ kinks sharpen with increasing interaction

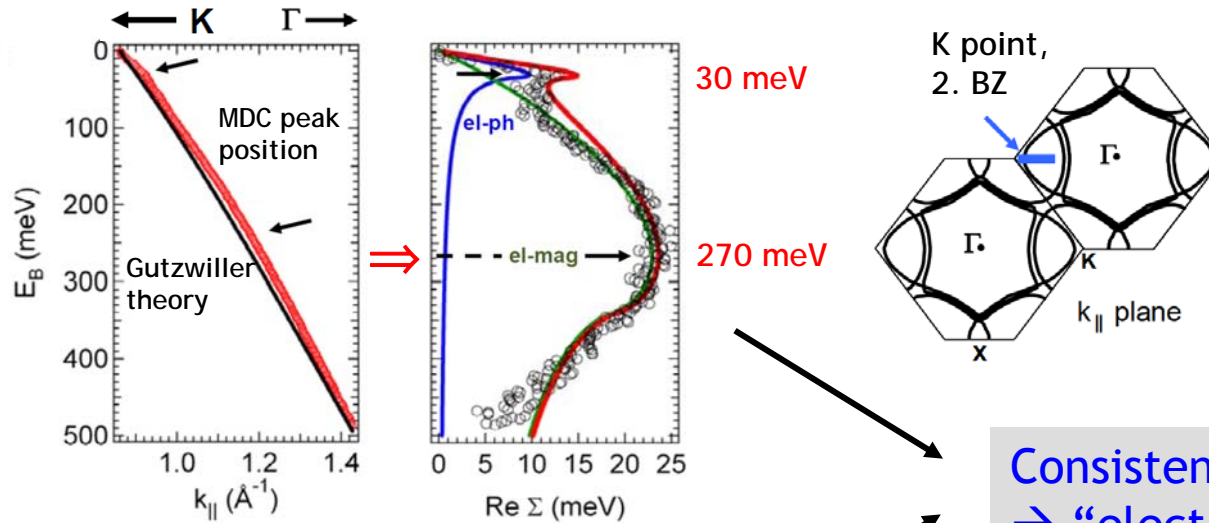
# Kinks



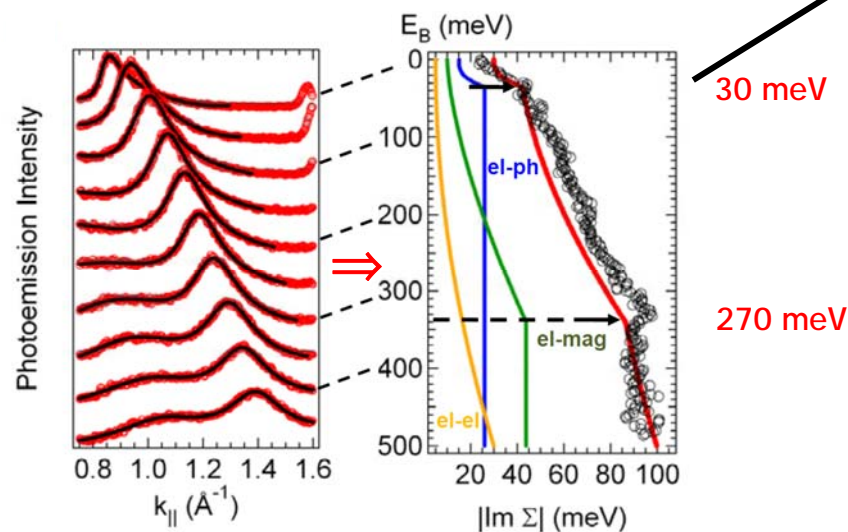
Byczuk, Kollar, Held, Yang, Nekrasov, Pruschke, DV  
Nature Physics 3, 168 (March, 2007)

# Kinks in high-resolution ARPES of Ni(110)

Hofmann, Cui, Schäfer, Meyer, Höpfner, Blumenstein, Paul, Patthey, Rotenberg, Bünemann, Gebhard, Ohm, Weber, Claessen (2009)



Consistent with KK-relation  
 → “electron-magnon” coupling

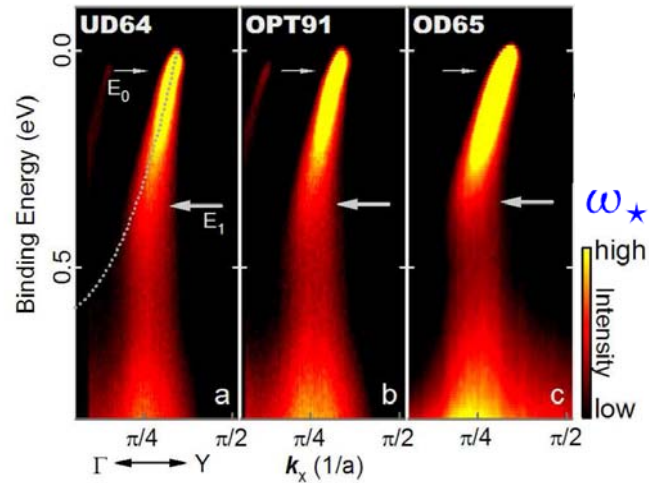


Energy scale for magnons  
 in Ni (large  $k$ ) ~ 270-370 meV

Byczuk *et al.* (2007):  
 Kink energy caused by  
 local spin fluctuations  $\omega^* \sim 300$  meV

# Waterfalls

# “Waterfalls” in the electronic dispersion



Bi2212

$\omega_* \approx 300-400$  meV

Graf *et al.* (2006)

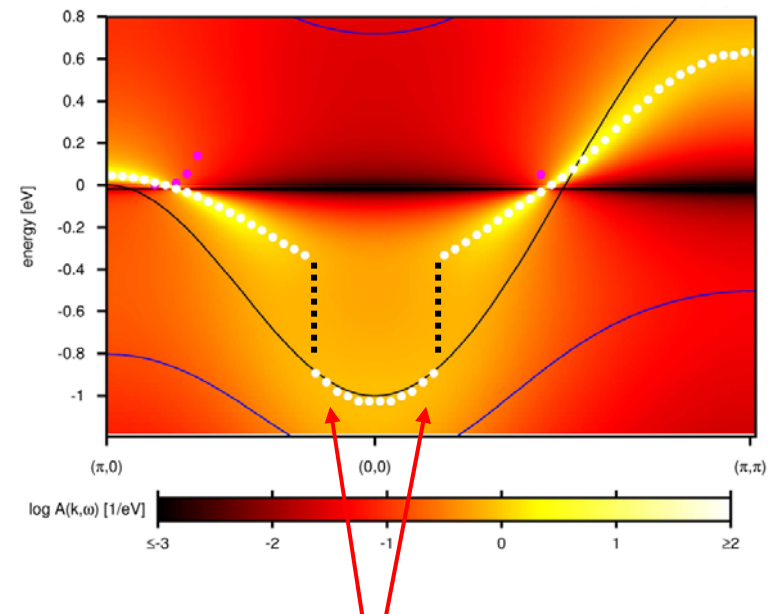
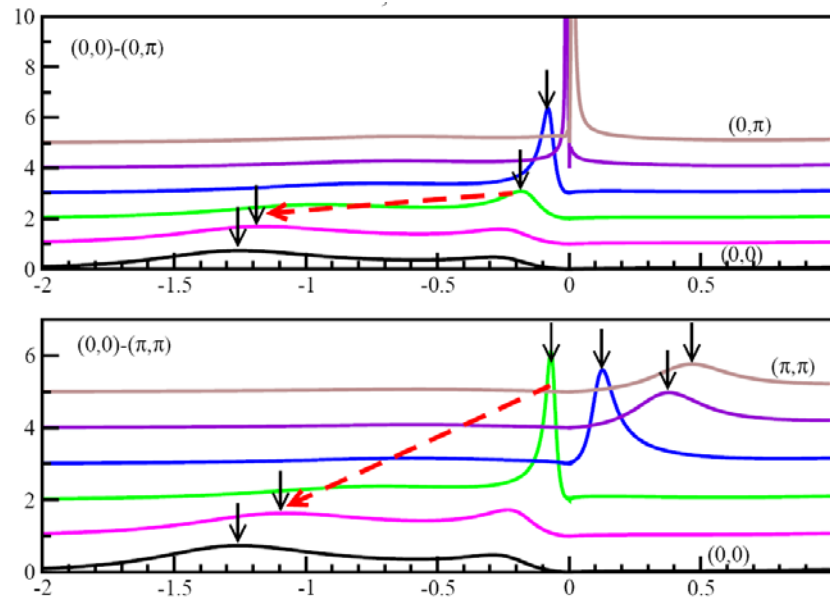
Graf, Gweon, Lanzara (2006)



# Electronic dispersion $E_k$ : Hubbard model, square lattice, DMFT(NRG)

$U=8t$ ,  $n=0.79$

Byczuk, Kollar (2009, unpublished)



“Waterfalls”

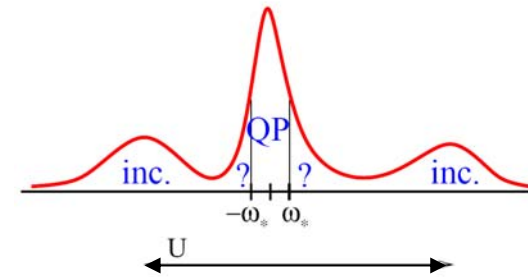
Dispersion jumps from central peak to lower Hubbard band

see also Held, Yang (2009, unpublished)

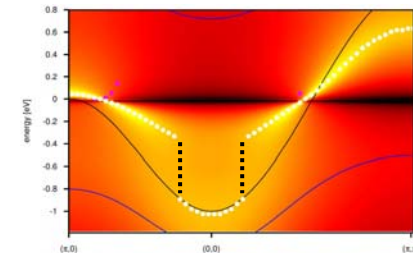
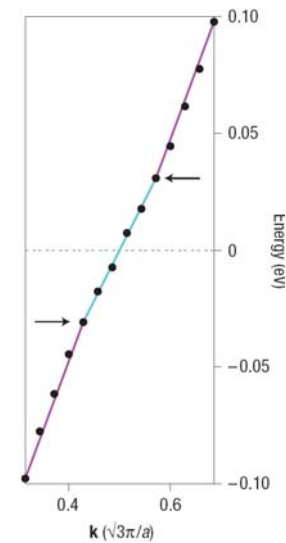
# Conclusions

## 1. Kinks in the electronic dispersion

- Purely electronic mechanism
- Generic for strong correlations
- 3-peak spectral function  $A(\omega)$  sufficient
- New energy scale
  - $\omega_* = Z_{FL} \times$  (bare energy scale)
  - inside central peak
- FL regime terminates at  $\omega_*$
- Robust mechanism based on local physics
- Does not rule out other kinks



$$E_{\mathbf{k}} = \begin{cases} Z_{FL} E_{\mathbf{k}}^0 ; & |E_{\mathbf{k}}| \leq \omega_* \\ Z E_{\mathbf{k}}^0 + c ; & |E_{\mathbf{k}}| \geq \omega_* \ll U \end{cases}$$



## 2. Waterfalls in the electronic dispersion

- Dispersion **jumps** from central peak to LHB